

EXHIBIT 5

*WINDSOR SECURITIES, LLC VS.
ARENT FOX LLP, et al.*

*LAUREN ANTONINO
February 15, 2018*



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Original File 116472.TXT

Min-U-Script® with Word Index

1 UNITED STATES DISTRICT COURT

2 SOUTHERN DISTRICT OF NEW YORK

3 -----X
WINDSOR SECURITIES, LLC,

4 Plaintiff,

5 vs.

6 ARENT FOX LLP, et al.,

7 Defendants,

8 CIVIL ACTION NO. 16-CV-01533 (GBD) (GWG)

9 -----X

10 303 Peachtree Street NE
11 Atlanta, Georgia

12 February 15, 2018
11:03 a.m.

13
14 Videotaped deposition of LAUREN ANTONINO, taken
15 on behalf of the Defendants, pursuant to Notice and
16 agreement of counsel, in accordance with the Federal
17 Rules of Civil Procedure, before Maureen S. Kreimer,
18 CCR B-1379, CRR, Notary Public, at the law offices
19 of Hawkins Parnell.
20
21
22

23 ELLEN GRAUER COURT REPORTING CO. LLC
24 126 East 56th Street, Fifth Floor
New York, New York 10022
212.750.6434
25 REF: 116472

1 A P P E A R A N C E S :

2

3 Alan L. Frank Law Associates, P.C.

4 On behalf of the Plaintiff

5 135 Old York Road

6 Jenkintown, Pennsylvania 19046

7 BY: ALAN L. FRANK, ESQ.

8 215.935.1000

9

10 Foley & Lardner, LLP

11 On behalf of the Defendants

12 90 Park Avenue

13 New York, New York 10016

14 BY: PETER N. WANG, ESQ.

15 ADAM G. PENCE

16 212.682.7474

17

18 Hawkins Parnell Thackston & Young LLP

19 On behalf of the Witness

20 303 Peachtree Street NE

21 Suite 4000

22 Atlanta, Georgia 30308-3243

23 BY: CHRISTINE L. MAST, ESQ.

24 404.614.7400

25

1 A P P E A R A N C E S : (Cont'd)

2

3 ALSO PRESENT:

4 Mr. Henry Stewart, Videographer

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1 ANTONIO

2 We attended depositions in I'm going to
3 say Nashville, Tennessee, for Mrs. Collins and
4 Mr. Coppock. I might have missed one of them
5 because of either when I flew in or, you know, or
6 something like that. I don't recall offhand.

7 Q. Now, you referred to Coppock. Were you
8 also involved with the Coppock and Stamatov cases?

9 A. Well, not as counsel of record. I was
10 asked to provide comments on some drafts sometimes.

11 Q. But you were not counsel of record in
12 those cases?

13 A. I was not.

14 Q. But you charged for your time that -- when
15 you worked on those cases; correct?

16 A. I charged for my time in connection with
17 work that Windsor asked me to do. It was all
18 relevant to learning about the -- what happened with
19 Houchins and the clients, and you know, how these
20 transactions went down to help me understand what,
21 you know, Houchins' role was, what Arent Fox's role
22 was in the various things, and so yeah.

23 MR. FRANK: So we're going to move to
24 strike that answer because I believe it
25 implicates attorney-client and work product

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ANTONIO

privilege and violates Judge Gorenstein's
order. But I'm not criticizing. I'm just
simply --

THE WITNESS: No problem.

MR. FRANK: -- registering on the record
our objection to the content of that question
and the answer.

BY MR. WANG:

Q. Did you meet -- did you ever meet
Mr. Houchins?

A. No.

Q. Did you notice his deposition?

A. I know we tried to schedule it. I don't
remember if a notice went out or not, so I'd have to
look at the folder, at the file.

Q. And what -- the case settled before you --
before that occurred?

A. Yes.

Q. So you were going to be -- take his
deposition, but the settlement intervened?

A. I think in the course of the discovery
plan there were communications about taking
Houchins' deposition.

Q. Okay. You did review his transcript in

A C K N O W L E D G M E N T

STATE OF)
) ss.:
COUNTY OF)

I, LAUREN ANTONINO, hereby
certify that I have read the transcript of my
testimony taken under oath in my deposition;
that the transcript is a true, complete and
correct record of my testimony, and that the
answers on the record as given by me are true
and correct.

LAUREN ANTONINO

Signed and subscribed to before
me, this _____ day of _____, ____.

Notary Public, State of _____

C E R T I F I C A T E

STATE OF GEORGIA)

:

FULTON COUNTY)

I hereby certify that the foregoing transcript was taken down, as stated in the caption, and the questions and answers thereto were reduced to the written page under my direction; that the foregoing pages represent a true and correct transcript of the evidence given.

I further certify that I am not of kin or counsel to the parties in the case; am not in the regular employ of counsel for any of said parties; nor am I anywise interested in the result of said case. The witness did reserve the right to read and sign the transcript.

This, 27th day of February, 2018.



MAUREEN KREIMER, CCR-B-1379
Notary Public in and for the
State of Georgia. My Commission
Expires August 14, 2020.

NAME OF CASE: WINDSOR SECURITIES VS. ARENT FOX
DATE OF DEPOSITION: February 15, 2018
NAME OF WITNESS: LAUREN ANTONINO

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[illegible]

Subscribed and sworn before me

this _____ day of _____, _____.

(Notary Public)

My Commission Expires: